

FORM PTO-1390
(REV 12-29-99)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

KP-8753

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/509994 ✓

INTERNATIONAL APPLICATION NO.

PCT/JP98/04609

INTERNATIONAL FILING DATE

13 OCTOBER 1998 ✓

PRIORITY DATE CLAIMED

15 OCTOBER 1997 and
11 NOVEMBER 1997 ✓TITLE OF INVENTION METHOD FOR MAINTAINING THE QUALITY OF AQUEOUS INJECTION
PREPARATION OF THROMBOMODULIN OVER ITS STORAGE/TRANSPORTATIONAPPLICANT(S) FOR DO/EO/US Masaki YUI, Akira YOKOZAWA, Tōmōyo MURATA,
Kazuhisa TSURUTA and Hiromoto SHIMIZU ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Preliminary Examination Report
International Search Report
Certificate For Microorganisms Original Deposition
and English Translation thereof
Form PCT/IB/308
Cover page of the International Publication
Sequence Listing (9 pages)
Patent Data Entry Sheet

CALCULATIONS PTO USE ONLY

\$ 840	
--------	--

\$ 130	
--------	--

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	21 - 20 =	1	X \$18.00	\$ 18	
Independent claims	5 - 3 =	2	X \$78.00	\$ 156	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	

TOTAL OF ABOVE CALCULATIONS =		\$1,144
-------------------------------	--	---------

\$	
----	--

\$1,144

\$	
----	--

\$1,144	
---------	--


\$	
----	--

\$1,144

Amount to be refunded:	\$
charged:	\$

- a. ☒ A check in the amount of \$ 1,144. to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR §1.16 and 1.17,
or credit any overpayment to Deposit Account No. 25-0120. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SIGNATURE: 

NAME _____

32,925

REGISTRATION NUMBER